



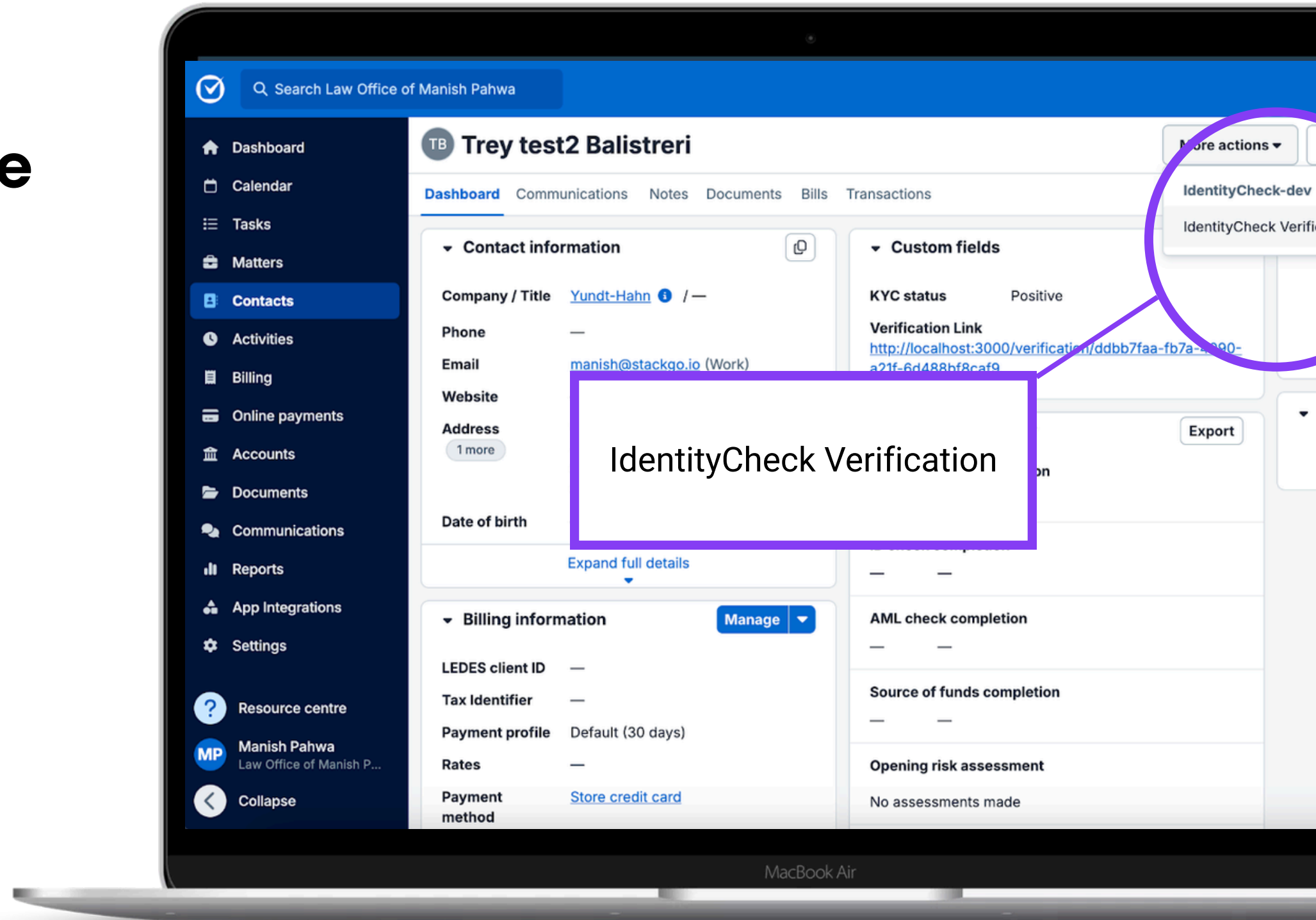
Embedded KYC/AML Compliance for Legal Firms

AML built directly into the software you already use.

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Other AML systems use interface-led design

The screenshot shows a web-based AML dashboard. On the left is a dark sidebar with navigation options: Transactions, Entities, Insights, Training, Compliance, Reporting, and Integrations. The main content area displays details for a transaction with ID TX-1766375100037 for 'Easy Property Group Pty Ltd'. It includes a risk level of 'Medium', a status of 'Pending', and a scope of 'Property'. Below this are several action buttons: 'Change Lead Entity', 'Edit Details', 'Sign off', 'e Outsource Checks', and 'Report to AUSTRAC'. There are also tabs for 'Individuals and Legal Entities', 'Notes (0)', 'Attachments (0)', and 'Audit Log (4)'. Two tables are visible: 'Individuals' with one entry for 'Esha Amar' (Client, Australia, Low risk) and 'Legal Entities' with one entry for 'Easy Property Group Pty Ltd' (Company, Client, Medium risk).

Typical AML dashboard



Another tab



Parallel database



Manual reconciliation



Extra logins / more steps



Zapier = simple steps

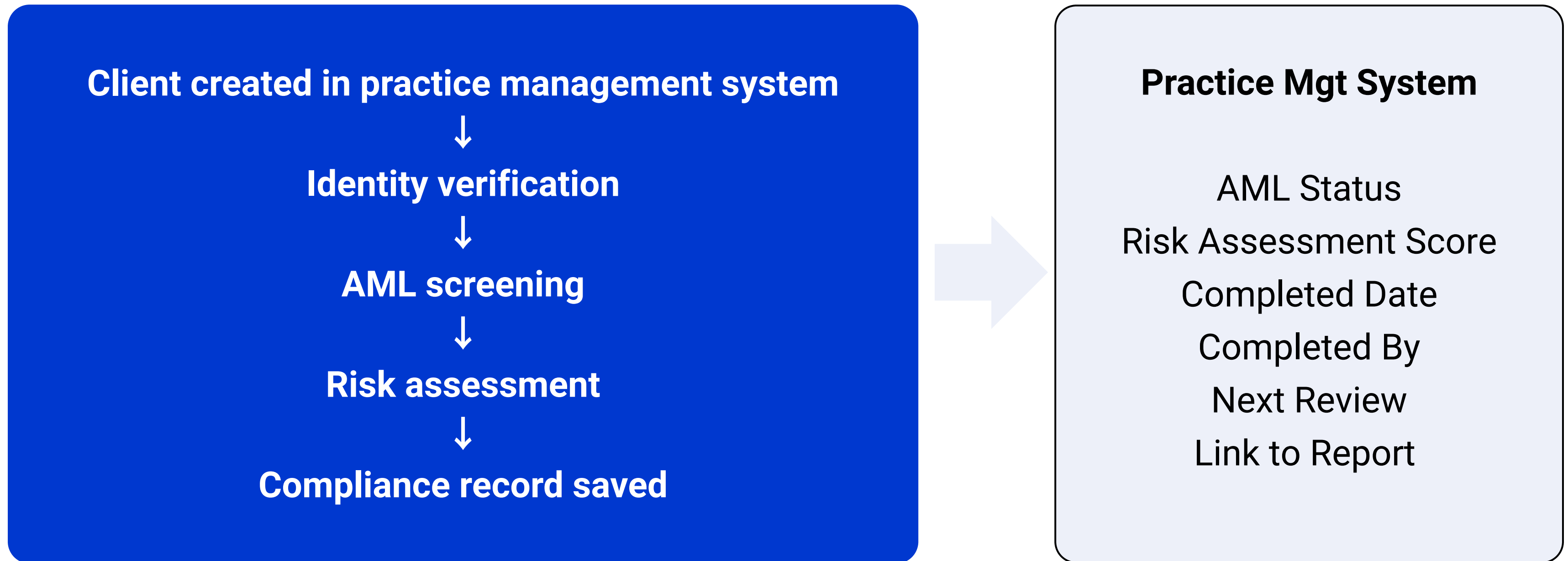


Custom integrations are costly

Creates friction, slows onboarding, and increases risk

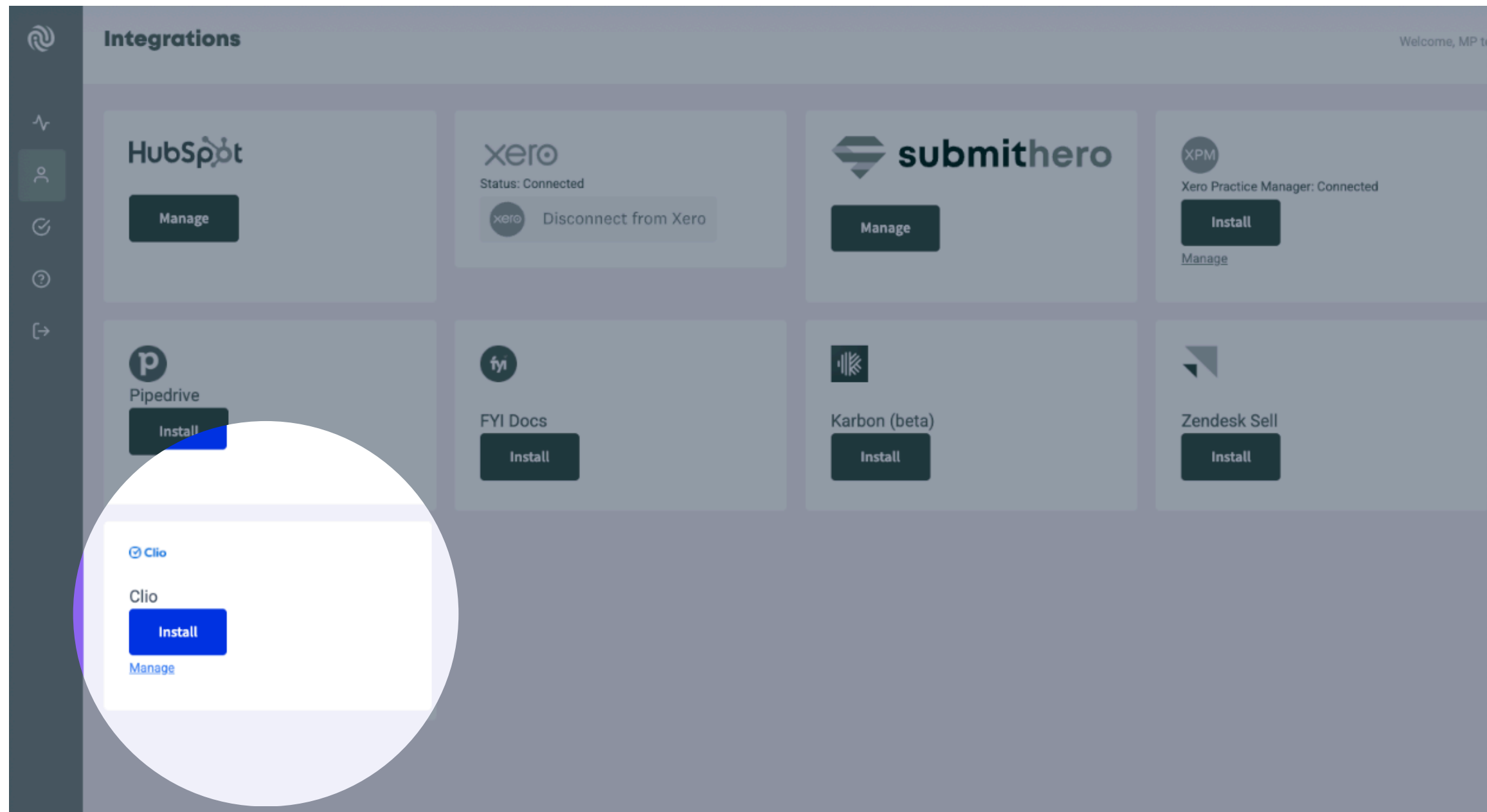
Integration-led design is better

AML built directly into the systems you already use



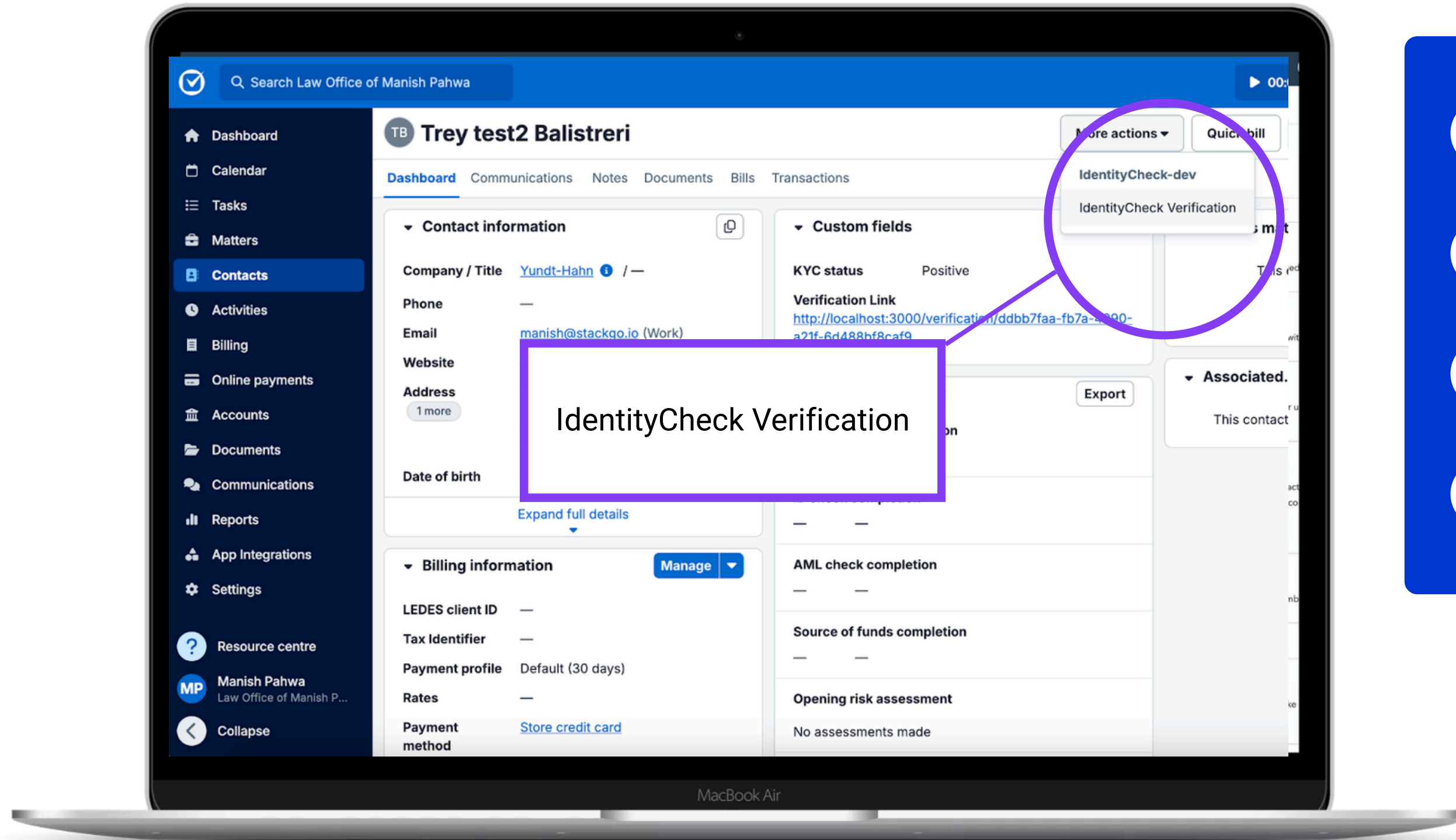
One workflow. One system. No duplication

Set up Clio <> IdentityCheck in minutes



- ✓ One click install
- ✓ No developer required
- ✓ No extra integration tools
- ✓ Free trial

Step 1: Simple trigger

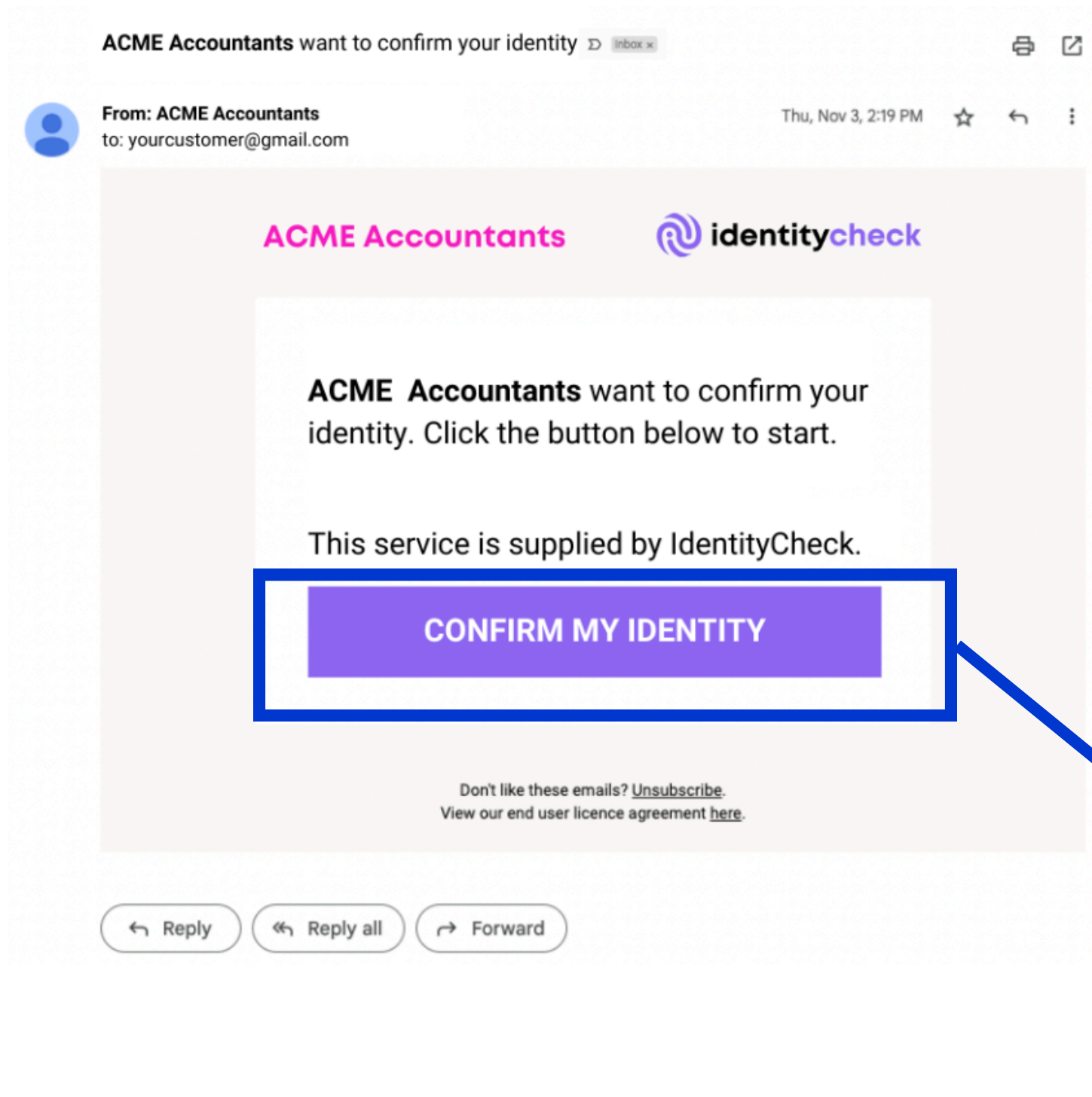


- ✓ Simple trigger
- ✓ Easy to learn & use
- ✓ No extra tabs
- ✓ No data entry

100+ platforms supported



Step 2: Email / SMS notification



- ✓ Add company logo
- ✓ Choose reply-to address
- ✓ Choose 'from' name
- ✓ Customise email content
- ✓ Reminder sent after [3] days

On Smartphone: Opens web browser
On Desktop: Complete on desktop / scan QR Code

Step 3: Intake / compliance questions

Name, DOB, contact details

Citizenship & tax residency

Occupation

Source of funds / wealth

Acting on behalf of entity

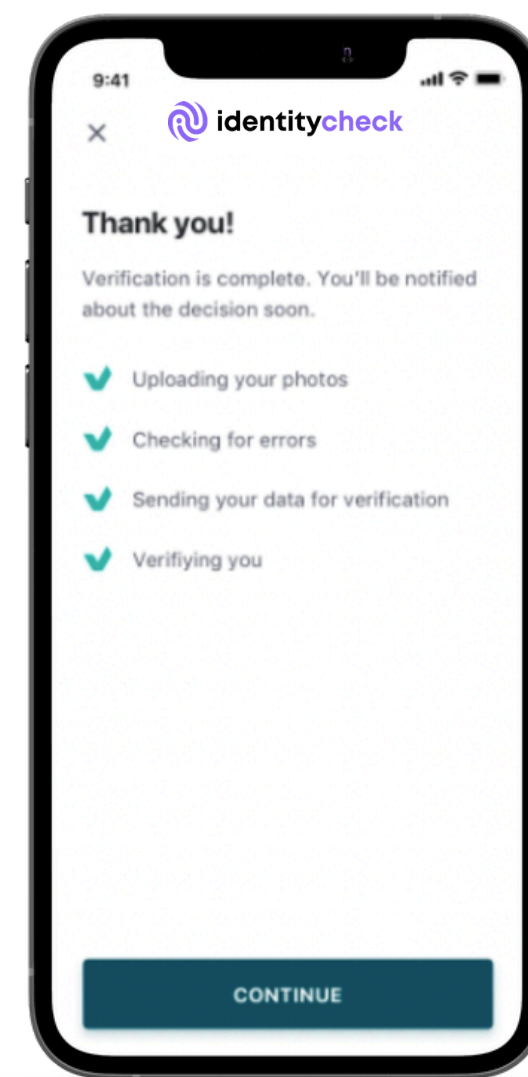
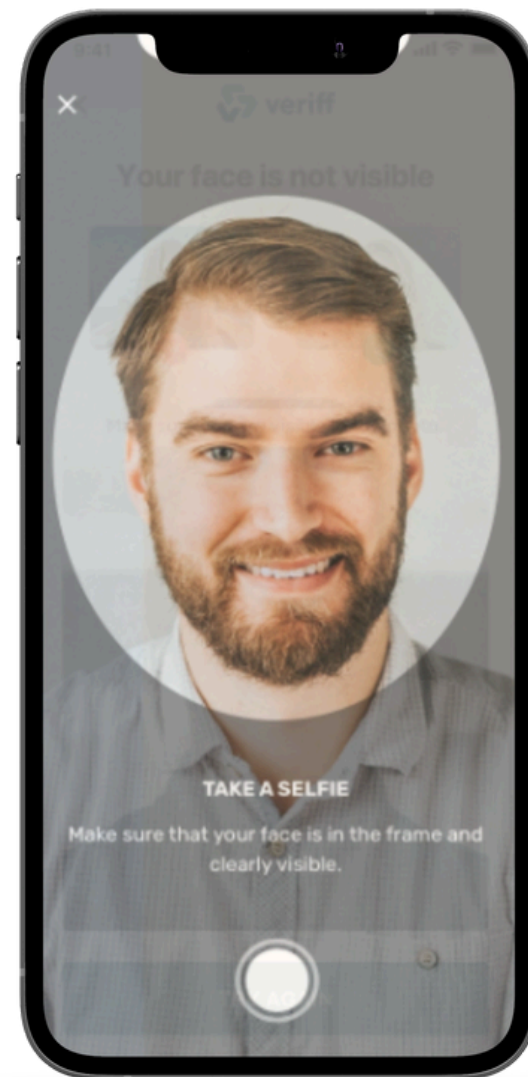
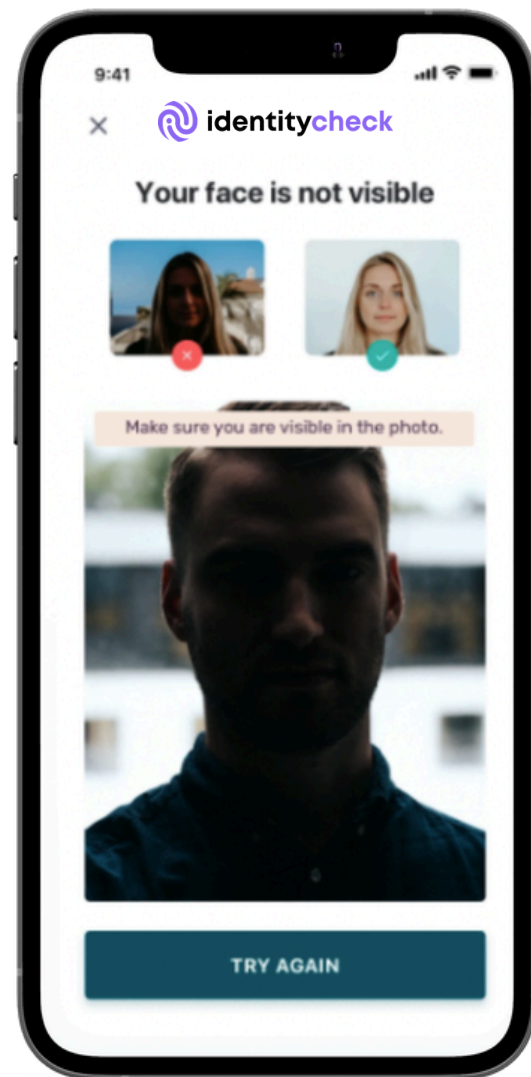
Entity type

Countries of operation

Consent (Mandatory)

- ✓ Internal form option
- ✓ Fixed URL option
- ✓ Proposal / E-sig integration
- ✓ Customisable fields and logo
- ✓ Record creation option
- ✓ Auto-redirect to ID check

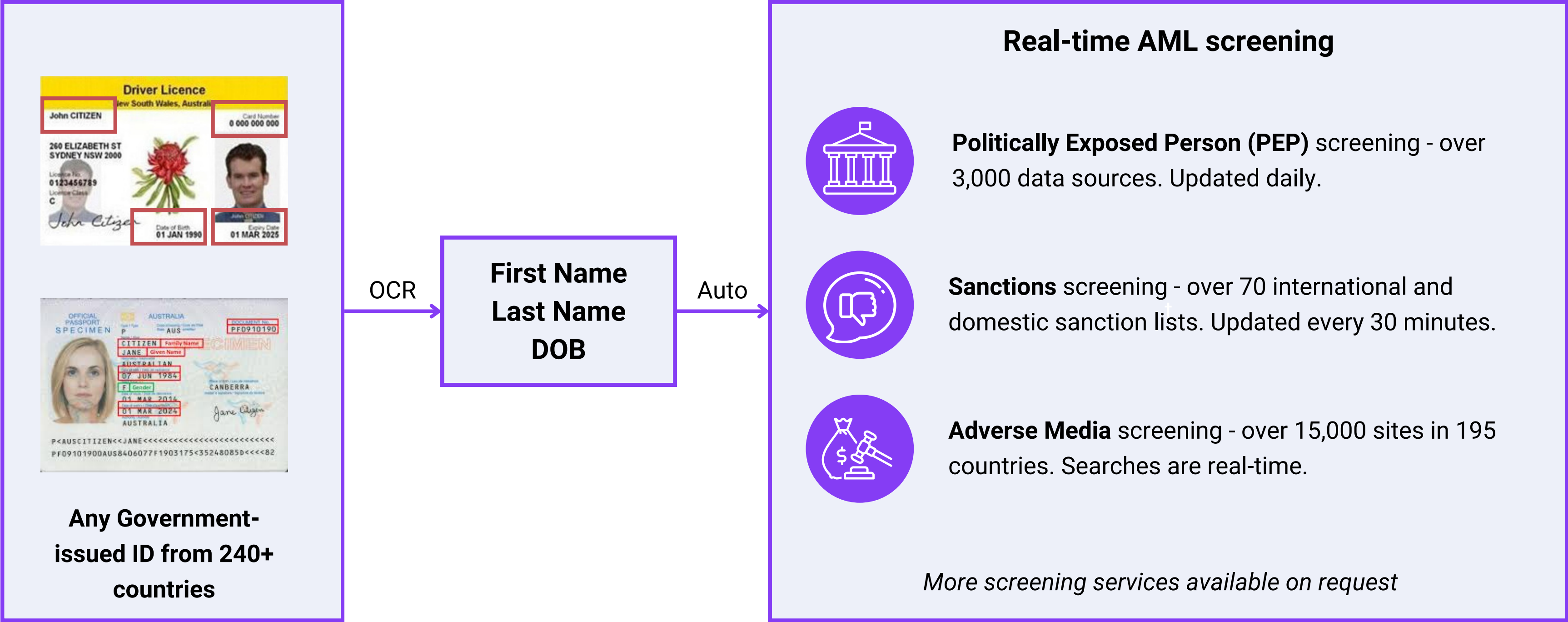
Step 4: Biometric ID check



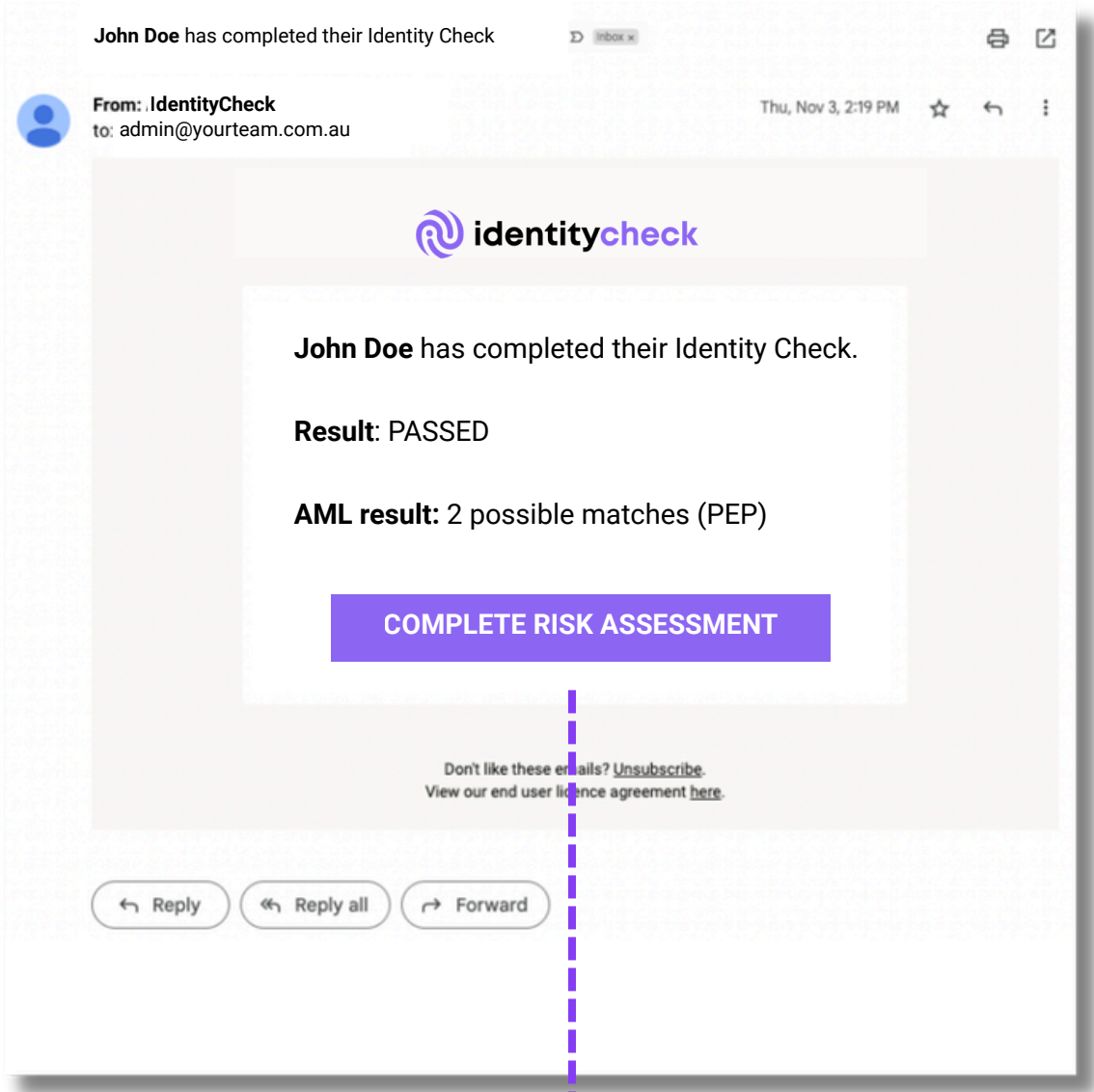
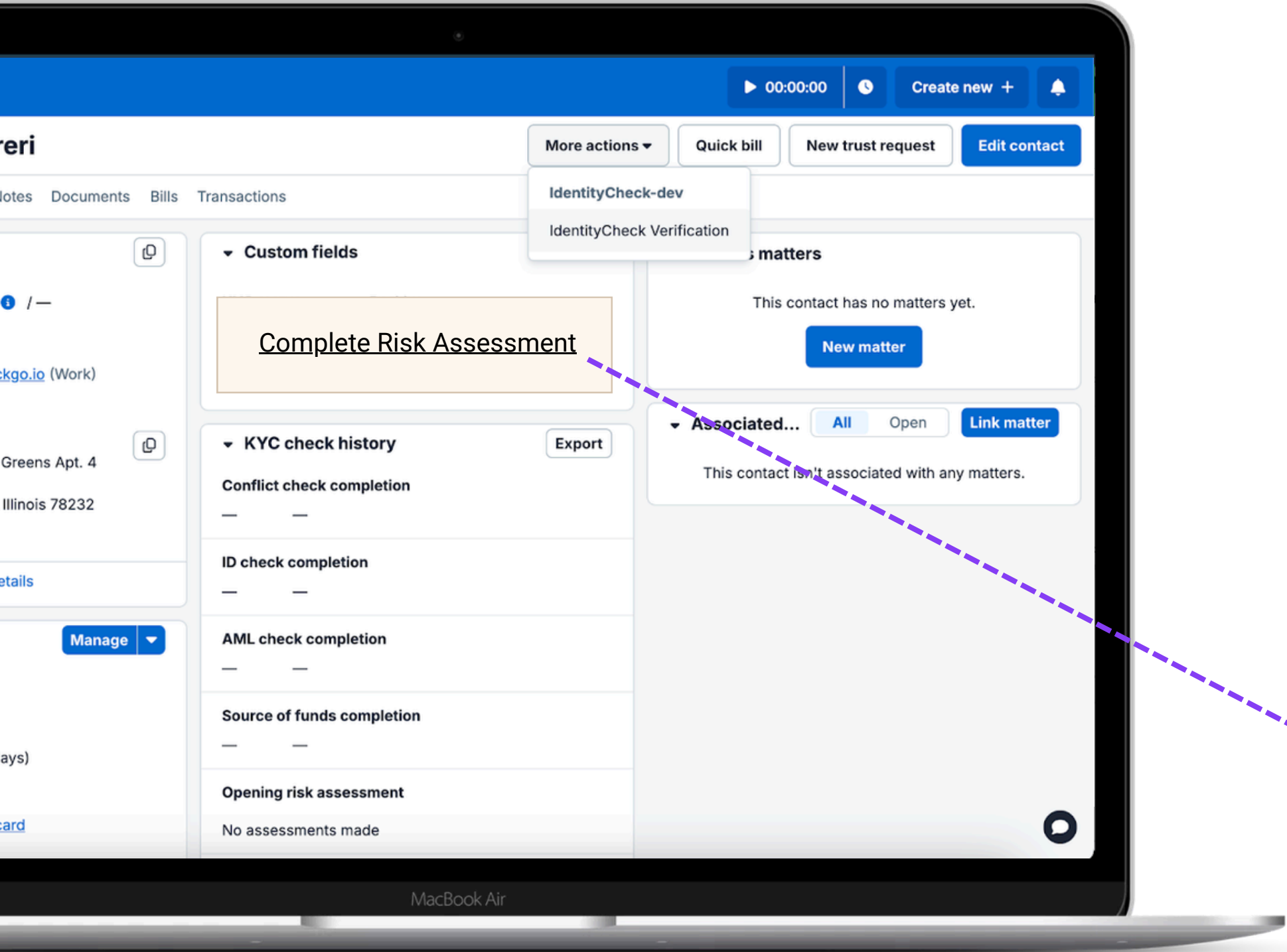
- ✓ Authenticity check
- ✓ 240+ countries
- ✓ Quality detection
- ✓ Passive liveness check
- ✓ No data entry

Secure identity verification in under a minute

Step 5: Data Extraction & AML screening



Step 6: Access Risk Assessment

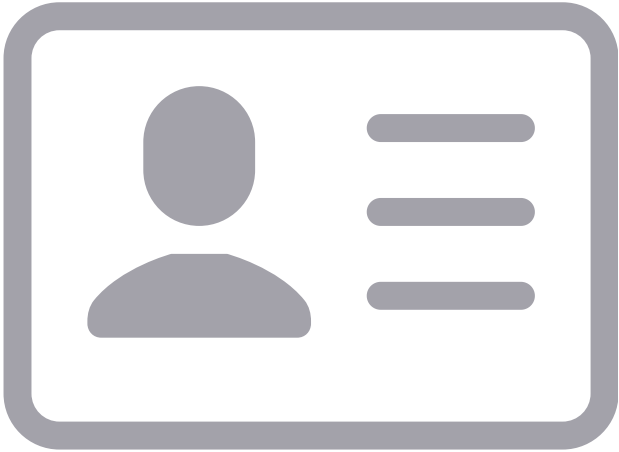


ENTER PIN NUMBER

To access the Risk Assessment, enter your unique 4-digit staff PIN number

Step 7: Complete Risk Assessment

RISK ASSESSMENT



Name: John Robert Doe

DOB: 01/01/1993

Document Type: Passport

Document Number: 1234567

Expiry: 31/01/2030

AML Results: 2 possible matches

Australian Federal Govt (current) [view>](#)

Australian State Govt (NSW) (expired) [view>](#)

Services provided

Delivery model

Complexity assessment

Expected transaction types

Risk factor scoring

Monitoring frequency

Record keeping

Practice Mgt System

AML Status
Risk Assessment Score
Completed Date
Completed By
Next Review
Link to Report

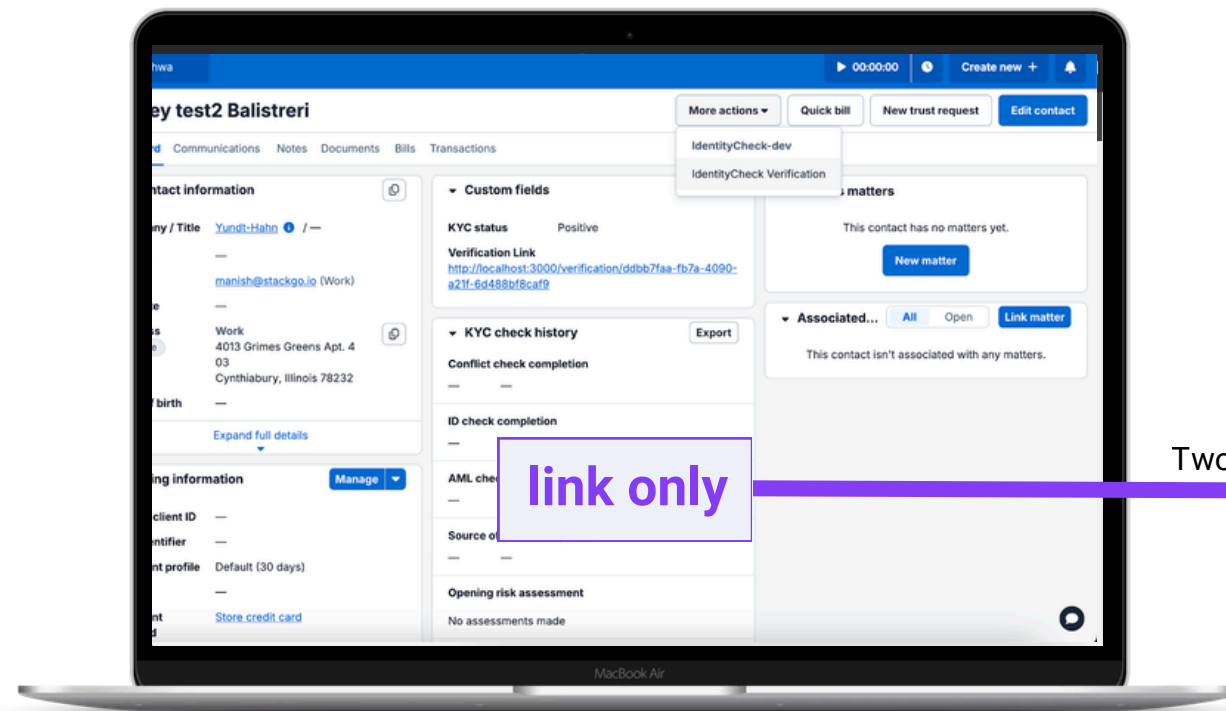
Operational record keeping

IdentityCheck

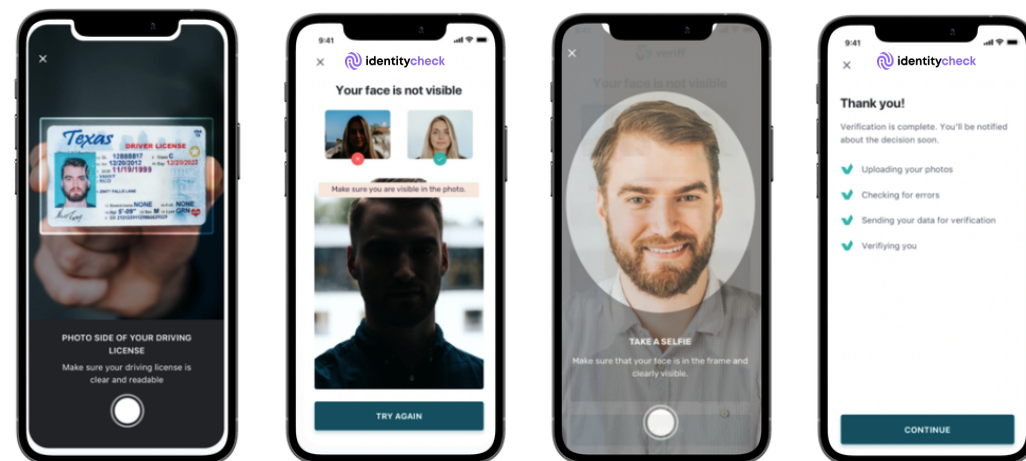
Everything in PMS +
Full session data
ID Check meta data
ID Check images (45 days)
AML screening results
Risk assessment responses
Audit ready reports

Held for 7 years

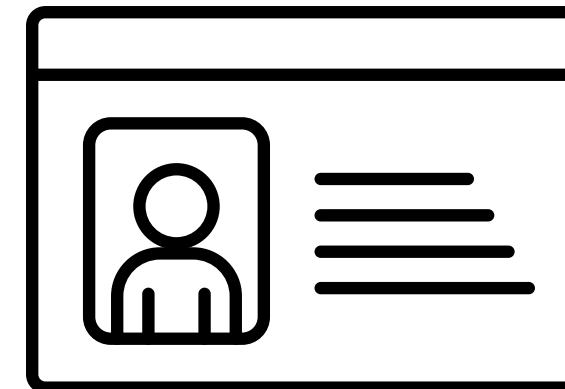
Security and Privacy Features



Two-Factor Authentication (2FA)
Restricted user access



SSL encryption
No Pii collected via email / server



- ✓ Images deleted after 45 days
- ✓ One-click data archiving
- ✓ Suspicious login blocker

Structured Query Language Injection (SQLi) and Cross Site Scripting (XSS) protection layer.

App server configured using SSL, supporting TLS version 1.2 using Secure Hash Algorithm 256-bit (SHA-256).

OAuth 2.0 - Advanced Encryption Standard 128-bit (AES-128) via separated configuration.

40+ point security audit by major SaaS platforms including Xero and HubSpot. OAIC opt-in. DHA approved.



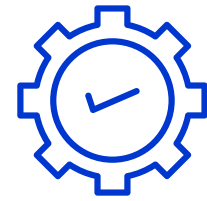
Australian Government
Department of Home Affairs



Australian Government
Office of the Australian
Information Commissioner



What integration-first design enables



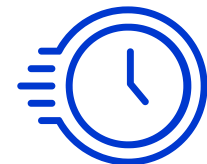
Automated end-to-end process

No manual steps between systems



Private and secure

Minimises exposure of personal information



Faster rollout

Runs inside familiar tools – minimal staff training



Lower compliance risk

Fewer manual steps means fewer missed checks



No extra logins

Nothing new to manage for staff

Typical Legal Firm ROI 5x

560 admin hours saved per year (~14 weeks)

Typical Accounting Business

Business details

1. Number of ID checks per year	1,200
2. Cost of a compliance/breach	\$1,500,000
3. Risk of a compliance/breach	1%
4. Average Salary	\$75,000

IdentityCheck ROI

5x

Time saved p/a (hours)	560
Direct cost saved	\$25,831
Reduced risk value***	\$13,500
Investment	\$8,400

Unquantified Benefits

- ✓ Better client onboarding
- ✓ No new software for staff
- ✓ Tranche-2 ready
- ✓ Fast implementation

** ABS 2022-2023 1% of Australians experienced data theft - <https://bit.ly/3U430Cu>. Does not include risks associated with manual data entry, fake ID and selfie detection, etc.

*** 90% less risky through automation. Highly conservative based on 96-99% human data accuracy and 99.99% when automated (<https://bit.ly/49p2HSg>)

Additional Processes

- ✓ Staff verification and AML screening
- ✓ Automatic monitoring for EDD
- ✓ KYB / UBO Reports and AML screening
- ✓ Documentation for AML policy and staff training
- ✓ Partners for broad AML training, and full compliance kits



Thank You

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